





# Physiologic constraints of using exosomes in vivo as systemic delivery vehicles

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#### Abstract

Systemic delivery of exosomes meets hurdles which had not been elucidated using live molecular imaging for their biodistribution. Production and uptake of endogenous exosomes are expected to be nonspecific and specific, respectively, where external stimuli of production of exosomes and their quantitative degree of productions are not understood. Despite this lack of understanding of basic physiology of in vivo behavior of exosomes including their possible paracrine or endocrine actions, many engineering efforts are taken to develop therapeutic vehicles. Especially, the fraction of exosomes' taking the routes of waste disposal and exerting target actions are not characterized after systemic administration. Here, we reviewed the literature about in vivo distribution and disposal/excretion of exogenous or endogenous exosomes and, from these limited resources of knowledge currently available, summarized the knowledge and the uncertainties of exosomes on physiologic standpoints. An eloquent example of the investigations to understand the roles and confounders of exosomes' action in the brain was highlighted with emphasis on the recent discovery of brain lymphatics and hypothesis of glymphatic/lymphatic clearance pathways in diseases as well as in physiologic processes. The possibility of delivering therapeutic exosomes through the systemic circulation, across blood-brain barriers and finally to target cells such as microglia, astrocytes and/or neurons is a good testbed in which the investigators can formulate problems to solve for both understanding (science) and application (engineering).

#### Keywords

Extracellular vesicles, Exosomes, Biodistribution, Waste disposal, Live imaging, Brain exosomes

#### Purpose and rationale

In this review, we emphasize the pharmacological and/or physiologic aspects of systemically delivered exogenous exosomes in vivo.(1-3) We review the core ideas incipient in community, the scientific the hyped suggestions and implications of investigators in materials sciences and biopharmaceutical sciences.(4-16) People tend to think, that if novel bio/nanomaterials work in vitro, they are sure will be working similarly in vivo. However, once injected in the body systemically through intravenous or

intraperitoneal routes, the materials meet serum proteins ("corona"), immune cells (both innate and adaptive), narrow capillaries and their endothelial cells, those one of the nonprofessional phagocytic cells. These obstacles should be considered, and bio/nanomaterials must be modified accordingly.

## Summary of Relevant Literature and Discussion

Extracellular vesicles include exosomes, ectosomes, microvesicles, and apoptotic bodies. Exosomes are sometimes used as an

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alias name for extracellular vesicles.(17-19) There were hopes and sincere trials to use exosomes as therapeutic vehicles, though investigators did not understand the specificity of production (and release) and the specificity of uptake (and the action on the recipient cells) very well.(19-22) Instead, there were speculations that the release was not supposed to be specific(17) though the fates of the released exosomes were not well traced locally in the microenvironment or remotely via circulations.(18) Paracrine and endocrine mechanism of actions can be an analogy for these intercellular communications via exosomes. Therapeutic efficacy of exogenous exosomes had been presumed without any evidence not to differ between paracrine and endocrine actions of released (and taken up) exosomes.



Figure 1. Different routes for administration of exogenous exosomes. (a) Exosomes isolated from mouse plasma was labeled with 99mTc-HMPAO. The intracranial injection of 99mTc-HMPAO-labeled exosomes clearly showed the exosome distribution (white arrow) in the injected site of the brain at 2 hours post-injection measured by SPECT/CT (preliminary result from our group). (b) Stereotaxic administration of exosomes modulated alpha-synuclein transmission by dysregulating microglia (reproduced with permission from reference 4). (c) Different distribution patterns of exosomes were identified in various brain pathologies after intranasal administration. Blue and the yellow arrow indicates the injection site of synthetic compounds for the model generation (reproduced with permission from reference 25). (d) Representative IVIS image showing 24-hour biodistribution of exosomes after systemic administration (reproduced with permission from reference 3). (e) Exosomes released from human breast cancer cell line was isolated using ultracentrifugation. 99mTc-HMPAO-exosomes were intravenously administered to the mouse. SPECT/CT image was obtained at 1 hour after injection. Red arrow indicates the liver tissue of mouse injected with 99mTc-HMPAO-exosomes (preliminary result from our group).

Successful in vitro experiments have been hastily extrapolated to the expectation that the therapeutic effect of exogenous exosomes would be exerted to the recipient cells.(3-16) However, it might not be the case with exosomes as the success of the application of biopharmaceuticals to in vivo and human use was slow though biopharmaceutical sciences had been so successful during the last several decades, and investigators should have manv hurdles and overcome barriers. Stereotaxic(23, 24) or intratumoral injection of exosomes or their delivery via the intranasal route(25) are practically not the final solutions but the first step of a long journey of producing clinically usable forms of exosomes which would be delivered systemically (Figure 1).

Investigation of the plausibility of using exogenous exosomes systemic by administration should be accompanied by an investigation of in vivo whereabouts using trustworthy tracing methods.(26, 27) In vivo molecular imaging is the best and radionuclide labeling of exosomes or fluorescent dye or reporter proteins labeling of exosomes were proposed to meet these needs.(1, 26-27) In any of these cases, securing the stability of labels was the most important here in tracing the pharmacokinetics of systemically delivered exogenous exosomes.(28) Pharmacokinetics pharmacodynamics studies and using molecular imaging are also of the utmost importance in the investigation of assessing the therapeutic usefulness of exogenous exosomes.

For biodistribution/excretion and molecular imaging studies, we need a priori to know the details of the biology of exosomes production and release, exosomes physiology and pharmacology and biology of uptakes and effects upon target (or recipient) cells.(17-22) These fields of research had advanced to reveal many details recently enabling us to understand the possible mechanism which we might hitchhike the pathways of uptake and the consequent action on the target cells after successful targeted delivery through systemic administration. There are several excellent reports which explained the helpful details of the interaction of exosomes with the target cells and indicative perspective reports to enlighten the importance of the intermediaries delivering exosomes via circulation.(17-22) For this review, we collected and edited the knowledge available in this field to let the readers see easily the importance of finding the whereabouts of exogenous exosomes and based on this, improving the probability of delivering the exosomes to the target organs and cells. Uptake and plausible therapeutic action of exosomes would lead us to predict the expected efficacy of exosomes upon the target cells.

When novel bio/nanomaterials are injected in the body systemically through intravenous or intraperitoneal routes, the materials meet serum proteins called as corona,(29-31) both innate and adaptive immune cells,(32) and narrow capillaries and their endothelial cells, one of the non-professional phagocytic cells. These obstacles must be considered. and the modified bio/nanomaterials must be accordingly.

is In addition. there а common misunderstanding that liver uptakes are automatically in the macrophage phagocytic system (MPS) while ignoring the possibility that they supply routes of excretion.(33) Another trap was the ignorance or misunderstanding that the excretion or the clearance of exogenous exosomes in systemic circulation was not always physiologic and thus should be or can be overcome by engineering. But, do we know the real significance of systemic circulation of exosomes and thus endocrine action of endogenous exosomes(27) or whether the excreted exosomes are born to be wasted like the endogenous exosomes or not?

### The hype of wishful therapeutic effects of exosomes

Nanomedicine is the medical application of nanotechnology for various purposes. For using nanomaterials possible therapeutics/ as diagnostics in vivo, nanomedicine is using nanomedicines for therapy and imaging. Nanomedicines consists of endogenous and exogenous nanomaterials produced in vivo or ex vivo, respectively.(33, 34) Exosomes can be considered as endogenous nanomaterials. However, once exosomes are produced ex vivo and scaled up to reach the volume of systemic administration, they resemble the status of the monoclonal antibodies produced at industrial scale(35) in that they face the problems such as chemical engineering, mixing, separation and

purification complying with good manufacturing practice or the differentiated retinal pigment epithelium (RPE) cells sheet made from induced pluripotent stem cell (iPSC).(36, 37) Monoclonal antibodies might provoke innate and adaptive immune responses and thus blood clearance was short. The second (or even the first) administration did not meet the purpose of maintaining sufficiently long circulation time (38, 39). In particular, antibody-drug conjugates have always raised concerns about labeling efficacy.(40, 41) Antimouse antibody response has been well recognized for mouse monoclonal antibodies, but anti-human antibody response may also obliterate the efficacy of humanized or human monoclonal antibody therapy.

Exosomes can experience the same fate, but few were reported(26, 27) and just the speculations prevail about the fate of systemically administered exosomes. Exosomes are known to have many membrane proteins on the surface including major histocompatibility complex (MHC) molecules. Strangely enough, allogeneic exosomes have not been considered to be immunogenic even without evidence of non-immunogenicity. Absence of evidence of immunogenicity does not mean an absence of immunogenicity. However, investigators tend not to use xenogeneic exosomes for testing the efficacy in vitro if non-xenogeneic exosomes are available, and they just don't emphasize this.

Differentiated RPE was first proposed to be used as therapy after iPSC generation from autologous fibroblast and their consequent differentiation to pigment epithelium.(36) As was expected, it caused much inconvenience to use autologous cells and took time to process the transplants. As expected, allogeneic differentiated RPE was produced using donor fibroblasts, pre-manufactured and ready for transplantation. Unfortunately, recent reports say that allogeneic differentiated RPE caused serious immune responses.(37) Likewise, as exosomes have MHC1 and MHC2 molecules, they will meet immune cells during circulation and cause immune cells-mediated clearance.

The possible immune response against the systemically administered exosomes is

unpredictable. This difficulty of predicting immune responses to exogenous exosomes are derived from (a) the difficulty of characterizing the surface MHC molecules of individual exosomes due to the necessity of unrealistic mass spectrometry, which requires the millionfold higher sensitivity than the currently available ones, (b) the inability to view the interaction of the exosomes with the relevant immune cells such as monocytes, neutrophils and tissue-resident macrophages on real-time, though this has become possible in transparent zebrafish.(26, 27) and (c) lack of knowledge of exact uptake mechanism of exosomes to the relevant cells such as dendritic cells. macrophages or other nonmonocytes, professional phagocytic cells. The nonprofessional phagocytic include cells endothelial cells, especially in the lung capillaries, the liver sinusoidal endothelial cells, and hepatocytes which would be important in clearing exosomes in vivo. In both capillaries and sinusoids, endothelial cells and associated tissue-resident macrophages have enough time to process exosomes.

Clearance from the blood is the single most important step of maintaining the balance between the production of exosomes by the donor cells and their uptake and degradation by the recipient cells (and action in some cases). The clearance of apoptotic bodies are well known as 200-300 billion cells (200-300 grams of cells) are recycled daily in humans(42) and microvesicles are the next ones to be cleared from the site of production or from the circulation and the recipient cells. Once in the circulation, they are prone to be taken up by circulating or resident phagocytic cells. However, the exosomes (or ectosomes) do sneak easily into the space of Disse in case of the liver through the fenestra (size of 100 nm) of the liver sinusoidal endothelium.(33, 43) Hepatocytes meet the exosomes directly and thus the liver uptake should be cautiously considered as MPS uptake. Liver uptake of exosomes is more likely to be due to the hepatocytes since we can observe intestinal activity in the following images overtimes (2, 4, 6 or 12 hours after administration).(33, 44)



Figure 2. Summary of possible clearance site of exosomes via professional and non-professional phagocytes.

If it decays in situ in the liver, we can suspect that exosomes were cleared by Kupffer cells or non-professional phagocytic cells of the liver such as liver sinusoidal endothelial cells (Figure 2)

To guarantee the plausibility using exogenous exosomes as therapeutics for various diseases, elucidation of pharmacokinetics, biodistribution, and also the excretion must be preceded. Most important pathways through liver and kidneys are the ones of desired attention, however, the interaction of exosomes with circulating phagocytic cells, capillary endothelial cells and hepatocytes should be also paid attention to.

#### Targeted delivery of exogenous exosomes administered systemically

There have been several reports to insist that engineering the exosomes was successful for targeted delivery and the exosomes should have been modified and prepared as therapeutics in their proposed ways. The first report was the mimicry of intracoronary artery delivery by using isolated rabbit heart model. In this ex vivo model, Lim and her colleagues(45) could deliver the exosomes prepared ex vivo to the ligated-and-reperfused coronary arteries and found that anti-apoptotic clusters and glycolytic apparatus of peptides were delivered so that the reperfused myocardial territories were improved in their function. Scale-up of the production of therapeutic exosomes were proposed by the authors. A recent study(46)demonstrated a novel targeting method using cardiac homing peptide (Figure 3A). After systemic administration it showed successful outcomes, reducing fibrosis and scar size, and increasing cellular regeneration, in myocardial infarction model.



Figure 3. Studies regarding successful targeted delivery of exosomes. (a) Targeting strategy using cardiac homing peptide showed a promising outcome in the myocardial infarction model after systemic exosome administration (reproduced with permission from reference 46). (b) Schematic illustration of production, engineering, and administration of exogenous exosomes derived from rabies glycopeptide-expressed dendritic cell. After systemic administration, engineered exogenous exosomes were delivered to neurons, microglia, and oligodendrocytes in the brain (reproduced with permission from reference 47). (c) Engineering of exosomes to avoid the surveillance of phagocytic cells and to specifically target tumor showed promising results (reproduced with permission from reference 48).

The second important one was the successful delivery of exogenous exosomes derived from rabies glycopeptide-expressed dendritic cells.(47) The exosomes were identified to have rabies glycopeptide and thus showed the targeting capability to the brain (Figure 3B). The authors administered the manufactured exosomes intravenously and insisted that the exosomes reached the brain via circulation and crossed the blood-brain barrier (BBB) and reached the target cells in the brain.

The recent more popular successes used to be reported for the investigators' success of making the exosomes hidden from the surveillance of phagocytic cells and to find the targets in the tumors (Figure 3C). Investigators made artificial chimeric exosomes, based on with liposome combined cell surface molecules, and treated them with enzymes to prove their biological effects. They even made artificial chimeric exosomes with the capability of sending SIRP-1 signals to the immune cells while preserving targeting capability.(48) The investigators didn't modify the surface, but implied the possible clinical use in humans(49, 50); however, as is well known, although modification is possible for many sites, this makes it less likely to be translatable for use in clinics.

Preparation of exosomes in vitro from the cells was the bottleneck and the progress take place in the development of the fast methods or the improvement of the yield without the deterioration of the characteristics of the exosomes. Regarding the former goal of the fast and agile method, investigators introduced exosome-mimetic nanovesicles, which were made by passing the cells serially through the microchannels of diameters of 5 µm to 1  $\mu$ m.(51-55) Interestingly, this endeavor made exosome-mimetics have surface markers of CD63, CD81 or Alix and others. The functional verification was not comprehensive, but the proof of concept studies was only done especially with the first report by Gho and his colleagues; however, the authors could successfully deliver exosomes to tumors after systemic administration.(52)

One of the most recently reported successes was interesting as the authors used the intraperitoneal route of exosomes as a delivery method. The SIRP-1 modified exosomes(48, 56) were used for intraperitoneal injection and the appearance of exosomes' fluorescence in blood was just used as evidence of systemic delivery of exosomes using this method. In this investigation, tumor targeting was the goal of the administration of exosomes. Missing was the information regarding the pharmacokinetic/biodistribution, targeted delivery, and pharmacodynamics.

### Difference between paracrine release and endocrine circulation of exosomes

The paracrine mediator acts on nearby cells via extracellular spaces while endocrine mediator, hormones, act on remote cells via the circulatory system. The reason for the existence of exosomes released from tissues should be understood at the local milieu of interstitial spaces.(25) Interstitial space is not void space but with full of local intercellular messengers including exosomes (Figure 4). Exosomes are released and can go anywhere without resistances as they can float over the fluidic milieu due to the advection, convection, and diffusion processes. Biophysical forces driving dispersion of exosomes and other the particulate materials have been reported. Unlike cells, exosomes do not have selfmobility and mimic the immotile enveloped viruses. Enveloped viruses mimic exosomes in the shape and mobility between cells. Peptide or lipid mediators are much smaller ones which mostly take diffusion processes and the uptake to the target cells via receptor-mediated touch action or endocytosis. Antibodies with the size of 12–15 nm are the quite familiar examples of these macromolecules as peptides, or more exactly, glycopeptides. Other cytokines such as interleukins or interferons are another example. Lipid mediators such as prostaglandins, leukotrienes, or 5-HETE/12-HETE are much smaller counterparts but function in the same way as their peptide counterparts. All these molecules are considered to act as paracrine actors. Interestingly, paracrine action of cytokines is based on their diffusion diameter and the degradation/uptake mechanism of these cytokines in the interstitium.



internalization pathway & functional analysis

Figure 4. Live tracking of endogenous exosomes visualized the presence of numerous exosomes in the bloodstream but also in the interstitial space (reproduced with permission from reference 27). More detailed video clips can be found at https://doi.org/10.1016/j.devcel.2019.01.004.

We expect that exosomes act similarly to cytokines or paracrine mediators composed of peptides, lipids, lipopeptides or glycopeptides. This is an expansion of small molecules (several nm in diameter) and macromolecules (several to ten or tens of nanometers) to the extracellular vesicles (hundred to thousand nanometers). Interestingly, we call these extracellular vesicles as exosomes/ectosomes (around 100 nm), microvesicles (up to 1 µm) and apoptotic bodies (larger than 1 µm) (1). 1 gram of tissue  $(10^{12} \mu m^3)$  is considered to be packed by  $10^9$  cells ( $10^3 \mu m^3$  per cell); however, if as sparse as one-tenth, 10<sup>8</sup> cells might fill this 1-gram tissue and 0.1 mL of space  $(10^3 \,\mu\text{m}^3)$  are open to being filled by macromolecules and endogenous nanomaterials called exosomes  $(10^2 \text{ nm}^3)$ . If as sparse as one-tenth, the remaining space can be filled by  $10^9$  exosomes. In short, there is much room for exosomes to fill, to evacuate, or to traffic from the donor cells to recipient cells. Lymphatic flow has a very good driving force of transporting these exosomes from the donor cells to recipient cells. Even the brain was recently found to have inherent lymphatic flow(57-64) (Figure 5) and known to have such a variety of exosomes liberated by neurons, astrocytes, microglia and other cells.(65-69) Interstitial contents are cleared from the brain interstitium to the systemic circulation via neck lymph nodes both continuously and in circadian rhythmic fashion (70). Other tissues are all open to the lymphatics and thus lymphatics are to let the cellular and subcellular components (in this case, exosomes and microvesicles) to the systemic circulation. Lymphatic channels consisting of popliteal, inguinal, external iliac lymph nodes and brachial, axillary and subclavian lymph nodes are the well-known lymphatic drainage systems from lower and upper limbs, respectively. Internal iliac, celiac, mesenteric lymph nodes and channels, and azygous and hemiazygos lymphatics are from the pelvic and abdominal cavity. Lymphatic channels of lobal, hilar, and paratracheal lymph nodes are from the lungs. Head and neck have their own lymphatics and lymph nodes. And as the last revelation, we now know that even brain parenchyma has lymphatics as a drainage system.(57-64)

Most interesting was the brain lymphatics, (65-69) as brain lymphatics are heavily involved in clearing many abnormal proteins and protein aggregates.(71-73) Amyloid plaques and tau aggregates are well-known examples.(74-78)

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Figure 5. The lymphatic system in the brain. (a) A schematic illustration of the connection between the glymphatic system and the meningeal lymphatic system. (b) Lymphatic vessels identified in the human brain tissue. Reproduced with permission from reference 62.

Tissue-resident macrophage, microglia are known to release many amounts of a diversity of exosomes.(78) The microglia release at least two types of exosomes, one of which help recovery from inflammation, the other of which exacerbate inflammation in situ of the brain. Microglia were supposed to do the dual roles in neuroinflammation in the traumatic brain or neurodegenerative diseases, too.

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Interstitial exosomes are expected not to be consumed on-site and can be spilled out to the blood circulation. This is different from hormones composed of small molecules or small or large peptides, such as thyroxine, estrogen, testosterone, cortisol, or thyrotropin releasing hormone (TRH; 3 a.a.). gonadotrophin releasing hormone (GnRH; 10 a.a.), corticotrophin releasing hormone (CRH; 41 a.a.), growth hormone releasing hormone 44 adrenocorticotrophic (GHRH; a.a.),

hormone (ACTH; 39 a.a.), growth hormone (GH; 191 a.a.), prolactin (198 a.a.), thyroid stimulating hormone (TSH; 210 a.a.), follicle stimulating hormone (FSH; 207 a.a.), and luteinizing hormone (LH; 216 a.a.). All these hormones have direct access to the vascular system and even the portal venous system in case of GnRH, GHRH, TRH, and CRH. Exosomes should pass through cellular membranes of capillaries, at most two, i.e. abluminal and luminal. In this case, transcytosis is expected, however, in other cases, passage through the intercellular spaces can be expected.(79)

The size of exosomes and the package structure, whose contents are now considered to be customized to make specific types of exosomes for the delivery of their contents, make their passage through the basement membrane and either through inter-endothelial clefts in continuous endothelium of capillaries or fenestrated or sinusoid blood capillaries through pores. More likely, exosomes in the interstitial spaces can be transferred and drained through lymphatic vessels like the other materials in the interstitial fluids to the blood circulations. Here the most unique characteristics of lymphatic vessels are their one-way transfer, such as from the interstitial spaces to the inside of lymphatic vessels and no way back. The driving force is the pressure generated by interstitial fluid.

Systemic intravenous administration of exogenous exosomes might make these exosomes experience a unique situation that they are exposed to the blood flow immediately after administration and meet circulating cells and then reach and take rest in the sinusoids of the liver, bone marrow(80) and spleen.(81) Once passing through the pores of sinusoid capillaries of these organs, exosomes will have the chance to behave like endogenously produced exosomes. We need to estimate how much proportion of exosomes will reach the expected target tissues based on this understanding. Endogenously produced exosomes should be considered as the intercellular package-information messengers for paracrine action. And the circulatory reach of exosomes to the remote organs like hormones might be an infrequent phenomenon though in cases of inflammation, development or degeneration they might dominate. Thus, making systemically administered exosomes as therapeutic vehicles should be tried ingeniously or at least should be monitored using molecular imaging methods.

### Waste disposal of endogenous and exogenous exosomes

Endogenous exosomes, if labeled transgenically, can be monitored for their biodistribution(27) (Figure 4). The recent investigation reported that exosome-marker labeled reporter gene could successfully label endogenous exosomes and this technology could visualize, in developing fish, the spillage of endogenous exosomes from the yolk to the circulation, their flow to reach the target, even the movement in the interstitial spaces or their clearance by circulating macrophages. Though this investigation was about the massive production and circulation of exosomes in developing embryo, it clearly showed the circulating endogenous exosomes, reaching venous plexus (capillary surrogates in fish) and interacting with only venous endothelium and not with arterial endothelium, and after reaching interstitial space, moving like Brownian movements confined to the space and not being taken up by cells in the interstitium muscle including cells. Circulating macrophages took up several of the exosomes but not the major player of clearance and instead endothelial cells took many exosomes. Similar findings were observed using melanoma cell line-derived exogenous exosomes administered to the blood circulation.(26) That is to say, injected exosomes circulated and reached endothelial cells and circulating macrophages. There have been few reports of exosomes reaching the interstitial space through the endothelial cells in the exogenous exosome model.

We can assume that the mass balance between delivery, clearance, production, and degradation influence the action at stationary states. Thus, the tumor-derived exogenous exosomes and embrvo yolk-derived endogenous exosomes might have represented and visualized the status of high turn-over rates.(26, 27) Physiologic status of endogenous exosomes delivery and fate of uptakes were well visualized during embryo development and systemically administered exogenous exosomes showed similar behavior; however the delicate difference between these two imaging experiments was observed in that the number of exosomes seemed to determine their passage to interstitial space while circulating macrophages could capture only a small fraction of circulating exosomes. Exosome specificity in uptake looked like working.(27) and we need to be reminded that this real-time imaging visualizes only the small period after injection and the investigators did not yet pay attention to the clearance.



Figure 6. Waste disposal of endogenous and exogenous exosomes. (a) Kupffer cells in the acute liver injury aid the restoration by removing debris. In the same vein, exosomes may as well be removed by Kupffer cell (reproduced with permission from reference 86). (b-d) In the sinusoids of the liver, there is enough time for exosomes to interact with Kupffer cells, sinusoidal endothelial cells and hepatocytes, which makes it an important factor for clearance. Spleen and bone marrow also have sinusoidal structures. Macrophages and endothelial cells in this space may have more chance to take up exosomes and clear them. (reproduced with permission from reference 88, 90 and 80, respectively)

Molecular imaging using fluorescent or radionuclide markers can elucidate the quantitative biodistribution of exogenous exosomes administered systemically.(1) Fluorescent marker-labeled exosomes can be used easily in transparent zebrafish; however, in small animals and humans, we need the imaging with the modality capable of repeated depth imaging enabling quantification.(1, 82) Bioluminescence imaging allows depth imaging in small animals without the capability of quantification but cannot be used for humans.(1, 83-85)

Tc-99m HMPAO, Tc-99m tin (RBC agents) or In-111 oxine are the agents for labeling follow-up exosomes and images of radionuclide labeled exosomes were acquired by several investigators.(1, 82) Interestingly, most of the systemically administered exogenous exosomes were shown to distribute to various organs different from each other in small animals. Most similar was that they showed liver uptake and itestinal activity.(33)

This might mean that hepatobiliary excretion would take place after the exosomes were taken up by hepatocytes. Later images had shown that liver activity decreased but remained. There is a possibility that liver uptake is partially by hepatocytes, also liver-resident and macrophages, such as Kupffer cells(86) (Figure 6A) and also endothelial cells of the liver sinusoids(87, 88) (Figure 6B). In the sinusoids of liver, spleen, and bone marrow, there are enough time of exosomes to interact with Kupffer cells, sinusoidal endothelial cells and hepatocytes. The burden of exosomes given to these cells and their specificity of interaction for uptake would determine the clearance of exosomes from the blood circulation.(89) Important is that the endothelial cells of the other peripheral tissues will compete with liver sinusoid endothelial cells. The flow speed might be different between lung capillary and periphery and liver sinusoids as well as the amount of interaction between exosomes and endothelial cells of these organs. And circulating mononuclear cells of monocytes/macrophages will also compete with Kupffer cells. In this competition, tissueresident macrophages will also benefit from the slow blood flow velocity in the liver.

The spleen has also the sinusoidal structure(81, 90) (Figure 6C) and bone

marrow(80) (Figure 6D) too, but the former has a very harsh environment so that the exosomes would be under immune surveillance there and the latter would show more favorable milieu where marrow microenvironment supports the premature cells to become maturated to make blood cells.(91) Exosomes will have the chance to be taken up by endothelial cells of these organs of liver, spleen and bone marrow, however, most of the endocytosed exosomes experience the degradation within endosomelysosome compartments.

We suggest that the investigators need to seriously consider the sizable fraction of the exosomes for clearance from the living body and remainder is working to affect the target cells. This reminds that red blood cells are working with the designated period after production and release from the bone marrow with the lifetime of 120 days in humans and platelets much shorter time and granulocytes far shorter time with even several hours. Exosomes are not going to survive and take actions on targets throughout their lifetime but most of the excessive exosomes are to be cleared and recycled or excreted from the body. Many routes of excretion pathways might work in collaboration but in the sense of quantity, liver, and kidneys are the two major routes.

Most of the exosomes have specific targets just as enveloped viruses have target cells. Herpes, pox, hepatitis B, corona, respiratory syncytial, influenza, yellow fever or dengue, and human immunodeficiency viruses are the examples. Every virus has been known to show tropism. Exosomes would behave similarly. By analogy, if the number of exosomes in the circulation are not enough to overcome the clearance capacity of the liver and other organs, no action will take place in the target organs or cells. This was the case with the molecular imaging results of radiolabeled exosomes, which showed dominant activity in the liver and other MPS organs.(1, 44, 82) However, the paracrine action of the exosomes might work always, and the eloquent example is the exosomes of the brain (65-69). Unfortunately, the study of exosomes in the brain was not so fruitful to understand the significant roles of exosomes in the brain in diseases or in the physiologic setting. We reserved a separate section for explaining the importance of the

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roles of exosomes in the brain for its physiologic and disease-related status.

#### Therapeutic effect follows the prerequisites of uptake of exosomes

If exosomes have therapeutic efficacy, systemically administered exosomes should meet blood components and should not be considered seriously abnormal by the corona proteins of the plasma(92) and circulating mononuclear phagocytes.(27) Investigators examined corona protein wrapping of liposomes, and once engineered (produced in vitro), the exosomes should be considered as artificial, such as like liposomes, by immune cells. Thus, all the information regarding whereabouts of liposomes should be taken into consideration when we speculate the whereabouts of exosomes in vivo.(91-94)



Figure 7. Inherent obstacles for targeted delivery of exosomes after systemic administration. (a) Whole-body SPECT/CT image after systemic administration of carbon nanotube labeled with radionuclide <sup>125</sup>I showed a substantial amount of tracer accumulation in the lungs, reflecting immediate aggregation and filtration by alveolar capillaries (modified with permission from reference 95). (b) In vivo biodistribution of radiolabeled nanomaterials, showed accumulation in spleen and liver (131). Exosome radiolabeled with 99mTc-HMPAO showed similarly high accumulation in the spleen and liver, after systemic administration. At the delayed period in showed relatively high uptake along the intestine, reflecting the hepatobiliary excretion (yellow arrow). Interestingly, fluorescence and SPECT showed different distribution patterns, although simultaneously labeled. (modified with permission from reference 131, 29 and 24). (c) Systemically injected exosomes should avoid being captured in lungs, liver, and spleen, to reach the target site effectively.

This was important because we have a seriously flawed report of the earliest works to propose the plausibility of using carbon nanotubes that the injected carbon nanotubes would have aggregated immediately and were filtered by alveolar capillaries (95-98) (Figure 7A). In human lungs, 500 million alveoli are present and if 40 capillaries per alveolus are assumed, 20 billion capillaries are expected to be present in a healthy person. Lung perfusion

scans of nuclear medicine are done with 100 thousand particles of Tc-99m macroaggregated albumin (MAA) particles of average 50  $\mu$ m of diameter. It blocks precapillary arterioles (capillary to precapillary arteriole ratio = 40) and thus if we assume precapillary arteriole to alveolus ratio is one, MAA blocks less than one five-thousandth of alveolus-vascular units. Exosomes show inherently low colloidal stability, considering the zeta potential (from

 $\pm 10$  to  $\pm 30$ ) (99). Furthermore, it is also reported that aggregation can occur in the production process of exogenous exosomes (100). Thus, exosomes will experience a similar fate once aggregated in vitro or in vivo after injection. Corona proteins, both hard and soft, might wrap up the exosomes but, no reports of such problems are in the literature. Only the failure of the carbon nanotube was easily found in the literature.(95-98)

Once exosomes escape from alveolar capillaries of the lungs after successful prevention from being taken up by alveolar capillaries, they are free to reach many organs, such that liver in 30% (7.5% arterial 22.5% venous flow = intestinal/stomach/spleen blood flow) of systemic (non-pulmonary) blood flow (=cardiac output; C.O., left-sided), kidney in 20% of C.O., brain in 15% of C.O., spleen in 7.5% of C.O. and lungs in 100% of right-sided C.O. And thus, the other organs such as extremities contribute only 27.5%. Though this summary ignored the variances, one can easily see the anatomical constraints of exosomes' distribution in the circulation system. In liver and spleen (responsible for 30% of C.O.) and their slow blood flow in their sinusoid system, exosomes have enough time for contacting the endothelial or parenchymal cell surfaces of the liver (Kupffer cells (Figure 7B) and endothelial cells) and spleen (red pulp macrophages and endothelial cells). In the lungs, all the exosomes shall meet pulmonary capillary endothelium which is continuous type like BBB, skeletal muscles, and skin but with a slow flow of through these capillaries. These provide a full chance of keeping in touch with endothelial cells.

Thus, to be effective, the exogenously delivered exosomes should reach the target tissues while escaping the captures by macrophages, tissue-resident circulating macrophages, especially Kupffer cells, splenic macrophages alveolar red pulp and macrophages and the endothelial cells of these three organs and hepatocytes (Figure 7C). These cell types have specific uptake mechanism. Among the variety of mechanism of exosomes' uptake in the recipient cells, phagocytosis might work when macrophages took exosomes or nonspecific pinocytosis in professional or non-professional anv phagocytic cells, while endocytosis in the endothelial cells, either receptor-mediated clathrin-dependent or caveolin-dependent, might work in the endothelial cells in the capillaries of the lungs, liver, and spleen. There are also unknown mechanisms of uptake in hepatocytes. How much exosomes would reach the target tissues such as injured myocardium, brain, and tumors, can be assessed only by in vivo molecular imaging and should be interpreted using the above. Using nuclear molecular imaging, one can even quantify how much of the exogenous exosomes were taken up by these gatekeeper tissues such as lungs, liver, and spleen.(44, 51, 82)

Now, we can speculate that endogenous exosomes might experience the same clearance, uptake or phagocytosis, and degradation or little but existing possibility of recycling of endogenous exosomes in the lungs, liver, and spleen.(91) Also, the lymph nodes and other organs such as intestines and bone marrow also render the place of contact of exosomes with endothelial cells. Unlike sinusoid capillaries whose endothelium leak most of the particulate components to reach the underlying parenchymal tissues, intestines and renal glomeruli having fenestrated capillaries might challenge exosomes to pass through these fenestrae with a 60 to 80 nm diameter. However, intercellular clefts might be used for the exosomes to pass through the continuous capillaries of the muscles, brain, skin, and especially lungs to meet cells in the interstitial space.

Endogenous exosomes, if produced and passed through continuous (brain, muscle, skin, gonads) or fenestrated capillaries (endocrine glands, intestines) with massive amounts, most will be captured by lungs, liver and spleen and lymph nodes by tissue-resident macrophages and hepatocytes. The endocrine action of endogenous exosomes, if any, would not reach such a significant proportion as hormones and endocrine target tissues. The specificity of target tissues for specific types of exosomes reported exist in was to я few investigations(101) and is yet to be determined. There is a possibility that waste disposal prevails and then in a unique manner, the successfully escaped exosomes might work upon the target tissues in adult stationary individuals. We think it is highly likely that endocrine action of exosomes would take place

when the deviation from the normal stationary states takes place in such cases as inflammation, injury, and degeneration and regeneration. Child growth or rapid aging might also be the ones of examples.

#### Specificity of exosomes uptake and therapeutic effects

Once in the extravascular spaces after systemic administration, exosomes might have therapeutic effects. To be able to render therapeutic effects, unlike hormones, exosomes might use receptor-mediated endocytosis (clathrin dependent), non-specific macropinocytosis or caveolae-dependent endocytosis and finally phagocytosis.(17, 22, 102) Which types of recipient cells are using these mechanisms is not well delineated. If receptor-mediated endocytosis renders the specificity of the interaction between exosome and recipient cell, cell adhesion molecules will be involved (103-110). Among four groups of adhesion molecules cell (CAMs) of immunoglobulin (Ig) superfamily, cadherin, integrin and C-type lectin-domain proteins (CTLD), integrins received attention as the first paper of organotropism reported that the formation of premetastatic niche was made possible by delivery of exosomes having  $\alpha_6\beta_4$  (for laminin) and  $\alpha_6\beta_1$  to the lungs and  $\alpha_{v}\beta_{5}$  (for vitronectin) for the liver (111) (Figure 8A).



Figure 8. Specific uptake of exosomes. (a) Organotropic incorporation of tumor secreted exosomes via integrin-mediated organ-specific cell uptake (reproduced with permission from reference 111). (b) Cell surface proteins, which may have a role in receptor-mediated endocytosis of exosomes in the endothelial cells. (c) Surface receptors of Kupffer cell may specifically uptake exosomes by recognizing Fc portion on exosome surface or recognizing exosomes as pathogens via pattern recognition. receptors (reproduced with permission from reference 112).

Among 24 integrins, proteomic analysis hinted the roles of exosomes having the above subtypes of integrins. ICAMs and VCAM are on the surface of endothelial cells (Figure 8B) and belong to Ig superfamily, and thus if exosomes use these CAM molecules, they will also use receptor-mediated endocytosis. In vivo zebrafish imaging experiments, exosomes stayed an average of 3 minutes on the surface of endothelial cells of the capillaries (venous plexus).(26, 27) Kupffer cells and probably the other tissue-resident macrophages use FcR receptors to find the client antibodies and if exosomes have Fc portion on the surface, they will be immediately recognized by Kupffer cells. However, there are more receptors on the surface of Kupffer cells, including CD14, Toll-like receptor 4 (TLR-4) and others (112).(Figure 8C) CD14 is the co-receptor of TLR4 and one of the pattern recognition receptors (PRR). If the exosomes are recognized via this receptor by the cells, exosomes can be said to be recognized like pathogens.

The in vivo molecular imaging in zebrafish study impressively presented that venous endothelial cells solely contacted and took up them while arterial or other parenchymal cells including muscles beyond vessel walls ignored and did not take up the exosomes in the interstitial space.(27) In the previous reports in the literature, using just the in vitro system, there were vague reports that exosomes of mesenchymal stem cells were only taken up by mesenchymal stem cells(113) or that exosomes of cancer cells were taken up by cancer cells but not by fibroblasts.(19, 21) The opinions of cell specificity are so fragmented as they are based on scattered data acquired mostly in vitro. As was explained above, the discussion of these specificities should have included a diversity of cells including macrophages, endothelial cells, and even hepatocytes as probable targets. The specificity of exosomes' uptake in the recipient or bystander cells should be explained in terms of the differences in the mechanism of uptake in these different cells. Without insight about the mechanism, one cannot understand the differential uptake between cells of systemically administered exosomes.

Exogenous exosomes should be tested, in addition to their equivalence of the efficacy, for their similarity to the endogenous exosomes after being taken up by the recipient cells.(51-55) If investigators were to make chimeric(48) or surface modified exosomes,(49) they should prove that the modification did improve the capability of exosomes to avoid the uptake by macrophage/ endothelial cells or other parenchymal cells such as hepatocytes and thus increasing the enhanced uptake in the target cells. And then the contents of peptides, nucleic acids and even lipid mediators within exosomes will work in the early/late endosomes or finally should endo-lysosomes.(102) We comprehensively investigate to find out which kind of signaling molecules, modifiers or regulatory motifs or pathway components are working as therapeutic purposes, as differential effect investigations.

### The specificity of production of endogenous exosomes

Cell biology has elucidated many details of the production of exosomes from the cells.(17,

18) The information is so concrete that we now differentiate the mechanism of production of vesicles; ectosomes using the mechanism of evagination of plasma membranes, exosomes endosomal invagination using with intraluminal vesicles in the multivesicular and microvesicles using similar body. evagination to ectosomes (17) (Figure 9A). Among these, multivesicular body and exosomal production were well characterized because parts of the process were in common with endocytosis and endolysosomal degradation pathways.(76) In fact, at first glance, the overlap is so delicate that one can miss the differential mechanism of their production for release and the degradation of exosomes after endocytosis.(17, 114-117) This mimicry, however, is enlightening in that the cells seemed to use a similar apparatus for degradation and intercellular communication.

Biogenesis of exosomes is now known to run with the ESCRT-dependent pathway and non-ESCRT-dependent pathway (18, 114, 118) (Figure 9B). Intraluminal vesicles can be associated with the pre-arranged excretion, but also go through lysosomal or autophagosomal association to be degraded (114, 117) (Figure 9C). So far, how the cells choose the production pathway between ESCRT-dependent or independent ones and how the exosomes' membranes or exosomes' intraluminal contents are packaged are not well understood. Obviously, the membrane contents shall include the membrane contents of the cells and species or individual identity (as in MHC1 or MHC2) as surface-exposed molecules. Undoubtedly, the mechanism of recognition of the exosomes by the recipient cells depends upon the surface characteristics of exosomes.(17, 22, 102, 107)

While we think about the diversity of receptors of the recipient cells, such as Ig superfamily, endocytosis-prone receptors for ligands, scavenger receptor, peptidoglycan or sphingomyelins and the similar, positioning the surface molecules inappropriate amount at correct loci along the membranes would be important. If bioengineering is planned, investigators need to characterize the factors and then modify the relevant molecules by inserting, deleting, or removing them. Of course, the consequences of the engineered modification of exosomes' surfaces should be evaluated for their impact 1) on the biogenesis (and especially packaging), 2) mechanism to determine whether to release them, 3) avoidance of clearance apparatus of endothelial cells, macrophages and hepatocytes or other parenchymal cells in vivo and 4) target cells' willingness to take up those exosomes in vivo. This is true for exosomes for their expected endocrine (or systemic) action, and especially when the major action mechanism of exosomes was known or expected to be for paracrine activities. There should be an obstacle to understand/overcome if investigators are trying to make inherently paracrine-major exosomes work as endocrine-functioning exosomes. They need to overcome the difference of milieu of paracrine and endocrine action while the harsh intravascular flow and the surveillance by patrolling and tissue-resident macrophages and ubiquitous endothelial cells will surely be a great hurdle.

### Feasibility of using therapeutic exosomes for brain diseases

Therapeutic use of exosomes for brain diseases depends upon unique issues of the brain such as (a) passage of exosomes through the BBB,(4, 6, 16, 47, 79, 119) (b) understanding the roles of exosomes as paracrine for intercellular actors communications,(7, 12, 24, 65, 66, 69, 75, 78) and 3) incomplete understanding of the cells involved in the production and uptake of exosomes.(17, 67, 68) Systemic administration and waiting for the therapeutic effect were the prevalent strategy used by many investigators, however, the spatiotemporal nature of the dynamic complexity of exosomes production and uptake makes the effect unpredictable.

Uniquely, brain cells and most the neurons are doing different roles in different areas of the brain and there are found a diversity of brain cells in the same region meaning astrocytes, oligodendrocytes microglia, and other parenchymal cells in addition to a variety of neurons. Almost all the cells release exosomes and all the other cells take up exosomes.(65, 67-69, 120) There have been not many investigations matching these interactions and summary was tried in a fine review a while ago (67) (Figure 10A). The recipient cells would have specific receptors such as neural CAM (NCAM), adherin. selectin and

immunoglobulin superfamily (IgSF), intercellular adhesion molecule (ICAM) and yet-to-be found many adhesion molecules.(68, 101, 107)

Neurotransmitters of small molecules or small peptides transmit the information between neurons and astrocytes. Synaptic cleft and neuron-astrocyte couples have been keeping and exchanging these transmitters around the close vicinity. However, in the brain as well as in other organs, there is extracellular space and once the brain cells are releasing exosomes for intercellular communication, this space also called interstitium is filled with exosomes anytime anywhere.(67) Paracrine action of exosomes will take place in interstitial space and the recipient cells are going to receive selective signals.(27) The easiest one to understand might be the message in the exosomes released by microglia. This has been called inflammation. However, there are already dual roles of microglial exosomes, one is for inflammation leading to tissue injury and the other is for the contribution of regeneration.(78)

If we imagine the situation that the brain is about to exchange its contents with the outside of the brain, one is the blood and the other is lymphatics. Recently, the existence of lymphatics in the brain was elucidated (59, 62). Blood to brain transport is controlled by BBB surrounding brain and BBB is made of a capillary with continuous endothelium.(4, 79) This means that materials should pass through the tight margins of cells from blood to brain interstitium. Once the cells in the brain release any material such as popular neurotransmitters or recently characterized exosomes, the fate of small molecules will depend on mainly the electrical. chemical, structure-functional. hydrophobicity/hydrophilicity characteristics.(79) The fate of released exosomes is to be determined similarly to small molecules but the factors for exosomes might be firstly the size, and then the surface characteristics determined by membrane peptides (such as ligands) and lipids (such as phosphatidylserine). Lipid bilayer structure of exosomes, considering their lipophilicity, can pass through the continuous membranes.(58-63) This is the basic wishful belief of the investigators who are doing research on using therapeutic exosomes for brain diseases.



Figure 9. The specific production of endogenous exosomes. (a) Intracellular molecular pathways involved in the production and secretion of exosomes in late endosomal multivesicular bodies (modified with permission from reference 1). (b) The sorting mechanism, including both ESCRT-dependent and non-ESCRT-dependent pathways, at multivesicular endosomes involved in exosome production (modified with permission from reference 2). (c) Summary of classic endocytic pathway, autophagy and exosome production (reproduced with permission from reference 117).

In fact, exosomes were taken up easily by the recipient cells on microfluidic chip study.(121) We could easily show that the same type of cells is taking up the exosomes released by the same cells.(49, 108, 113, 121) Furthermore, differentiated cells from progenitors also took up exosomes from the progenitor cells. The

combination of cell study is pending; however, these experiments were all in vitro. Brain lymphatics associated with glymphatic(58, 70, 122) were found to clear extra amount of materials produced on-site in the brain as well as materials transported in from the blood (Figure 10B).



*Figure 10. Exosomes in the brain. (a) Summary of exosomes in intercellular communication involving brain cells. (b) Conceptual figure indicating clearance of exosomes through glymphatic (modified with permission from Reference 122).* 

These materials include exosomes, and if exosomes carry modified abnormal proteins such as abnormal tau(23, 73, 123) or alphasynuclein,(24, 74, 124) they could be the vehicle of clearance of those abnormal proteins. Even extracellular amyloid could be cleared without or within exosomes.(62, 75, 76, 78, 120) The mechanism of how those abnormal proteins are packaged in exosomes, are to be elucidated.(18, 67, 114, 120, 125) To predict the possible therapeutic roles of exosomes, we need to understand the paracrine action of exosomes and the clearance mechanism of exosomes' contents as well as the roles of professional phagocytic cells, microglia for this

endeavor or non-professional phagocytic cells, if any in the brain.

To find out the feasibility of therapeutic use of exosomes by systemic administration, in vivo confirmation of therapeutic reach to the targets should follow. And for this, imaging with radionuclide-labeled exosomes might be a nextstep.(126-129) This is an in vivo companion diagnostic for promising therapeutic exosomes or simply expressed 'in vivo theranostics'. Fortunately, in vivo, well-established animal models of peptide propagation(130) or neuroinflammation are there while rendering a good opportunity for the investigators to predict the feasibility of using therapeutic exosomes for neurodegenerative diseases.

#### Conclusion

There has been growing interest in the field of exosomes research. Based on successful results from in vitro and several in vivo studies, we have drawn a hopeful future of exosomes as a therapeutic agent. However, there is a significant gap between the expected therapeutic effect of exosomes and the actual clinical application. In order to fill this gap, a thorough understanding of exosomes is necessary, including the physiology of endogenous exosomes, distribution, and excretion after systemic administration and specific production.

We must realize that:

- Exosomes have a unique function in intercellular signaling and waste management. The mass balance between production, delivery, clearance, and degradation influence this function.
- Intercellular signaling of endogenous exosomes can take place in both a paracrine and endocrine manner. Their paracrine effect seems to be the dominant action in intercellular signaling, while the endocrine effect if any, must pass through the adversity of circulation and disposal system.
- Exogenous exosomes, when systemically injected, face many hurdles, such as professional or non-professional phagocytic cells, especially in sinusoidal spaces of specific organs.
- After reaching the target, exosomes are specifically taken up by the recipient cells. However, it can also be taken up by various bystander cells, thus differential uptake mechanisms in various cells should be elucidated.
- Understanding of BBB, paracrine action and glymphatic are essential to evaluate the feasibility of using exosomes as therapeutic agents for brain diseases.
- In vivo molecular imaging is essential to ensure that exosomes pass through the hurdles and reach the target, and to track the clearance.

For the clinical application of exosomes, we will find a way. We always have.

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